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| Substitute for form 1449/PTO |   |    |   | <b>Complete if Known</b> |                      |
|                              |   |    |   | Application Number       | 10/648,786           |
|                              |   |    |   | Filing Date              | August 27, 2003      |
|                              |   |    |   | First Named Inventor     | NI, Jian             |
|                              |   |    |   | Art Unit                 | 1646                 |
|                              |   |    |   | Examiner Name            | Kaufman, Claire M.   |
| Sheet                        | 1 | of | 2 | Attorney Docket Number   | 1488.130000B/EJH/SAC |

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |
|--------------------|-----------------------|--|----------------|
|                    | NPL1                  | Delgi-Esposti, M.A., <i>et al.</i> , "Cloning and Characterization of TRAIL-R3, a Novel Member of the Emerging TRAIL Receptor Family," <i>J. Exp. Med.</i> 186:1165-1170, Rockefeller University Press (October 1997)  |                |
|                    | NPL2                  | Locksley, R.M., <i>et al.</i> , "The TNF and TNF Receptor Superfamilies: Integrating Mammalian Biology," <i>Cell</i> 104:487-501, Cell Press (February 2001)   |                |
|                    | NPL3                  | MacFarlane, M., "Identification and Molecular Cloning of Two Novel Receptors for the Cytotoxic Ligand TRAIL," <i>J. Biol. Chem.</i> 272:25417-25420, American Society for Biochemistry and Molecular Biology (October 1997)                                    |                |
|                    | NPL4                  | Marsters, S.A., <i>et al.</i> , "A novel receptor for Apo2L/TRAIL contains a truncated death domain," <i>Curr. Biol.</i> 7:1003-1006, Cell Press (December 1997)   |                |
|                    | NPL5                  | Pan, G., <i>et al.</i> , "An Antagonist Decoy Receptor and a Death Domain-Containing Receptor for TRAIL," <i>Science</i> 277:815-818, American Association for the Advancement of Science (August 1997)  |                |
|                    | NPL6                  | Schneider, P., <i>et al.</i> , "Characterization of two receptors for TRAIL," <i>FEBS Lett.</i> 416:329-334, Elsevier Science B.V. (October 1997)  |                |
|                    | NPL7                  | Screaton, G.R., <i>et al.</i> , "TRICK2, a new alternatively spliced receptor that transduces the cytotoxic signal from TRAIL," <i>Curr. Biol.</i> 7:693-696, Cell Press (September 1997)  |                |
|                    | NPL8                  | Sheridan, J.P., <i>et al.</i> , "Control of TRAIL-Induced Apoptosis by a Family of Signaling and Decoy Receptors," <i>Science</i> 277:818-821, American Association for the Advancement of Science (August 1997)   |                |
|                    | NPL9                  | Simonet, W.S., <i>et al.</i> , "Osteoprotegerin: A Novel Secreted Protein Involved in the Regulation of Bone Density," <i>Cell</i> 89:309-319, Cell Press (April 1997)   |                |
|                    | NPL10                 | Tsuda, E., <i>et al.</i> , "Isolation of a Novel Cytokine from Human Fibroblasts That Specifically Inhibits Osteoclastogenesis," <i>Biochem. Biophys. Res. Commun.</i> 234:137-142, Academic Press (May 1997)  |                |

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| Examiner Signature |  | Date Considered |  |
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| <b>FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br><i>(Use as many sheets as necessary)</i> |   |    |   | Application Number       | 10/648,786           |
| Sheet  | 2 | of | 2 | Filing Date              | August 27, 2003      |
|  |   |    |   | First Named Inventor     | NI, Jian             |
|  |   |    |   | Art Unit                 | 1646                 |
|  |   |    |   | Examiner Name            | Kaufman, Claire M.   |
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| Examiner Initials*                     | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published |  | T <sup>2</sup> |
|  | NPL11                 | Wallach, D., <i>et al.</i> , "Tumor Necrosis Factor Receptor and Fas Signaling Mechanisms," <i>Annu. Rev. Immunol.</i> 17:331-367, Annual Reviews (April 1999)  |  |                |
|  | NPL12                 | Ware, C.F., <i>et al.</i> , "Apoptosis Mediated by the TNF-Related Cytokine and Receptor Families," <i>J. Cell. Biochem.</i> 60:47-55, Wiley-Liss (January 1996)  |  |                |
|  | NPL13                 | European Opposition Document for European Patent No. 1 012 274, Communication of a notice of opposition, 1 page, dated March 28, 2008   |  |                |
|  | NPL14                 | European Opposition Document for European Patent No. 1 012 274, Communication of a notice of opposition, 1 page, dated March 7, 2008  |  |                |
|  | NPL15                 | Opposition to European Patent No. 1 012 274 B, filed by Genentech, Inc. in the European Patent Office, 23 pages, dated February 27, 2008  |  |                |
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| Examiner Signature | /Claire Kaufman/ | Date Considered | 07/07/2008 |
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